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Attorney Docket No.: PHO 99004CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: <i>Bennett</i>	)	Art Unit: <i>2641</i>
	)	
Serial No.: <i>10/653,039</i>	)	
	)	Examiner: <i>Doris Ha To</i>
Filed: <i>08/29/03 as continuation-in-part of</i>	)	
<i>09/439,145 filed 11/12/1999, now U.S. Patent</i>	)	
<i>6,633,846</i>	)	
	)	
For: <i>Query engine for processing voice based</i>	)	
<i>queries including semantic decoding</i>	)	
	)	

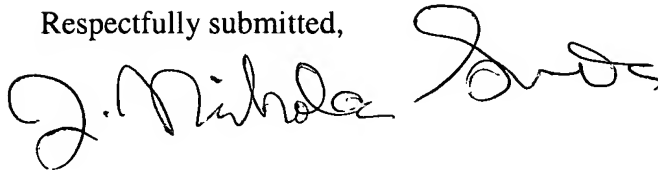
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.
2. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed before the mailing date of a first Office action on the merits.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "J. Nicholas Gross". The signature is fluid and cursive, with the first name "J." and last name "Gross" clearly distinguishable.

J. Nicholas Gross  
Registration No. 34,175  
Attorney for Applicant(s)

February 10, 2005  
726 Duboce Ave.  
San Francisco, CA 94117  
Tel. (415) 355-9800  
Fax (415) 355-9006

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, this 10<sup>th</sup> day of February 2005.

# Information Disclosure Statement By Applicant

Substitute for form 1449/PTO

Page 1 of 1

Application Number: 10/653,039

Filing Date: 08/29/2003

First Named Inventor: Bennett

Art Unit: 2655

Examiner Name: Doris Ha To

Attorney Docket Number: PHO 99004CIP

Examiner Initials	Other Publications
	AGARWAL, R., "Towards a PURE Spoken Dialogue System for Information Access, " believed to be published in <u>Proceedings of the ACL/EACL Workshop on Interactive Spoken Dialog Systems: Bringing Speech and NLP Together in Real Applications</u> , Madrid, Spain, 1997, 9 pages.
	AMMISCHT, Egbert et al., "Knowledge Collection for Natural Language Spoken Dialog Systems," believed to be published in <u>Proc. Eurospeech</u> , Volume 3, Page 1375-1378, Budapest, Hungary, Sep. 1999, 4 pages.
	AT&T Corp., "Network Watson 1.0 System Overview," 1998, 4 pages.
	AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform," 1996, 3 pages.
	AT&T Corp., "AT&T WATSON Advanced Speech Applications Platform Version 2.0," 1996, 3 pages.
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	GORIN, Allen, "Processing of Semantic Information in Fluently Spoken Language," believed to be published in <u>Proc. ICSLP</u> , Philadelphia, PA, Oct. 1996, 4 pages.
	GORIN, Allen et al., "How May I Help You," believed to be published in <u>Proc. IVTTA</u> , Basking Ridge, NJ, Oct. 1996, 32 pages.
	MOHRI, Mehryar, "String Matching With Automata," Nordic Journal of Computing, 1997, 15 pages.
	Prudential News, "Prudential Pilots Revolutionary New Speech-Based Telephone Customer Service System Developed by AT&T Labs - Company Business and Marketing," December 6, 1999, 3 pages.
	RICCARDI, Giuseppe et al., "A spoken language system for automated call routing," believed to be published in <u>Proc. ICASSP '97</u> , 1997, 4 pages.
	SHARP, Douglas, et al., "The Watson Speech Recognition Engine," accepted by ICASSP, 1997, 9 pages.

Examiner  
Signature:

Date  
Considered: